



IBM Research / Linux Technology Center

# Implementing GSER and Component Matching

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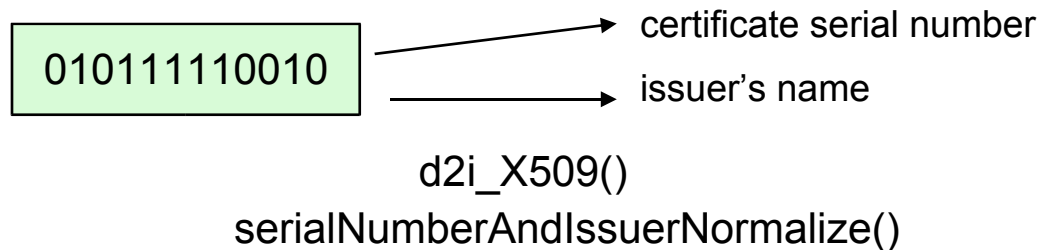
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# When LDAP meets PKI

- **LDAP when used to support Public Key Infrastructures**
  - Search for the correct Certificate or Certificate Revocation List (CRL) based on their contents
    - “Find *userCertificate* attribute containing the email address `slapd@openldap.org`”
  - Store and transfer a certificate as binary blobs
    - No knowledge about structure and contents
- **Three approaches to handle the assertion**
  - Syntax specific parsing
  - Attribute extraction
  - Component matching : our approach

# Syntax Specific Parsing

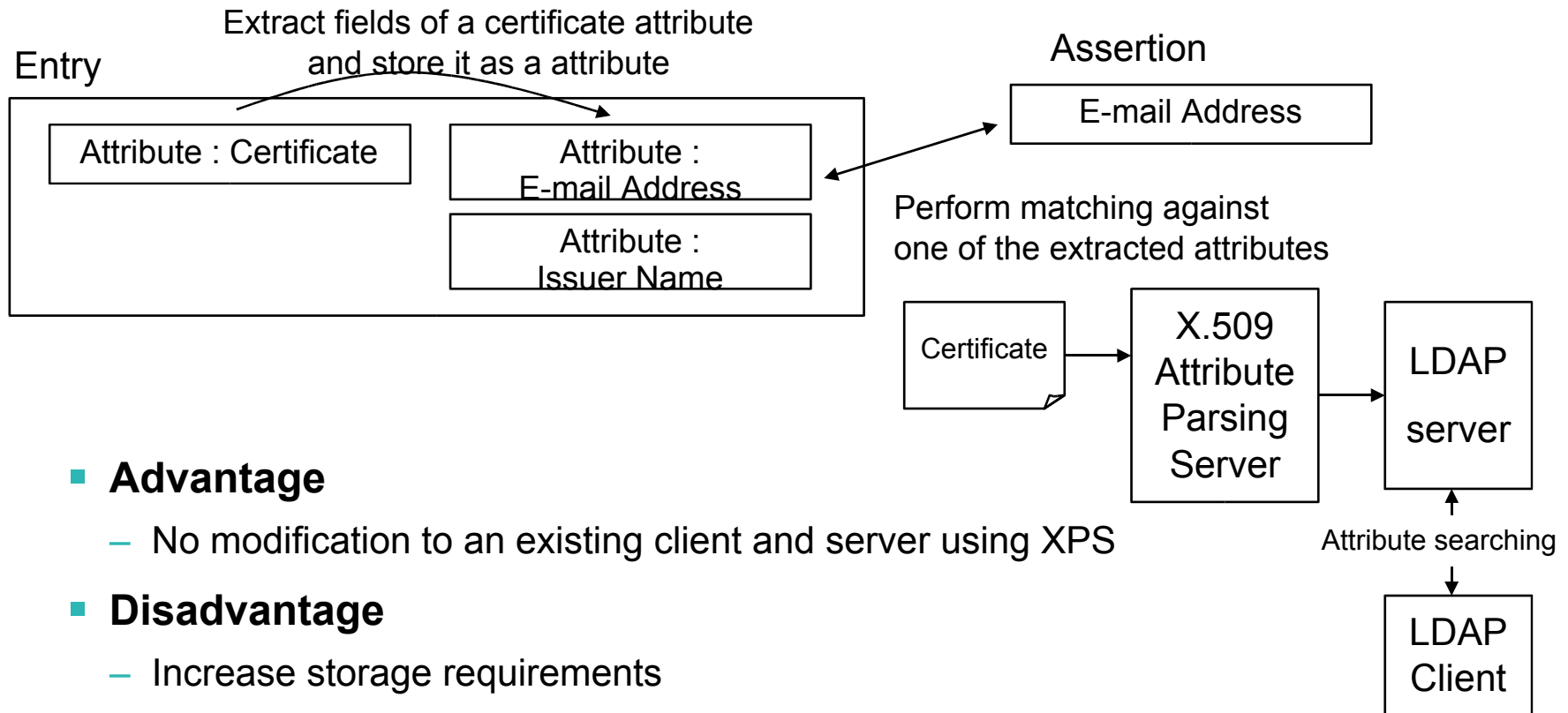
- **Parsing the blobs to recognize the fields of X.509 ASN.1**



- **Disadvantages**

- The parsing routine is dedicated to a specific syntax (X.509 Certificate)
  - rewrite syntax specific routines whenever a new ASN.1 syntax and any change in existing ASN.1 come up

# Attribute Extraction



- **Advantage**

- No modification to an existing client and server using XPS

- **Disadvantage**

- Increase storage requirements
- Matching is not performed on an attribute itself but extracted attributes
  - Security issue
- Complicated mechanism to support multiple certificates of an user

# Component Matching

- **The Automated and fundamental approach of syntax specific parsing**
- **Define a generic way of matching user selected components in an attribute value of ASN.1 attribute syntax**
  - Attribute Syntax of X.500 and LDAP
    - Described by Abstract Syntax Notation (ASN.1) type definitions
  - Component assertion, component filter , *componentFilterMatch* matching rule
  - GSER : represent a component assertion value as regular and uniform UTF8 string encoding

# Component Matching

## ■ Advantages

- X.509 attribute is stored only once
- Matching is performed directly on their contents
- Easy to deploy a new syntax and matching rule with the help of an ASN.1 compiler

## ■ Disadvantages

- Modifications to an LDAP server
  - the new matching rules (*componentFilterMatch*) and GSER

## GSER : ASN.1 encodings (RFC 3641)

- **A human readable UTF-8 character string encoding of ASN.1 values of any give arbitrary ASN.1 type**
- **Define *UTF8 string encodings* at the lowest level of ASN.1 built-in types**
  - INTEGER, BOOLEAN, OCTET STRING etc
- **Build up complex ASN.1 types automatically from the lowest level**
  - SEQUENCE, SET, CHOICE

```

TBSCertificate ::= SEQUENCE {
  version          [0] EXPLICIT Version DEFAULT v1,
  serialNumber     CertificateSerialNumber,
  signature        AlgorithmIdentifier,
  issuer           Name,
  validity         Validity,
  subject          Name,
  subjectPublicKeyInfo subjectPublicKeyInfo,
  ...
  extensions       [3] EXPLICIT Extensions OPTIONAL
}

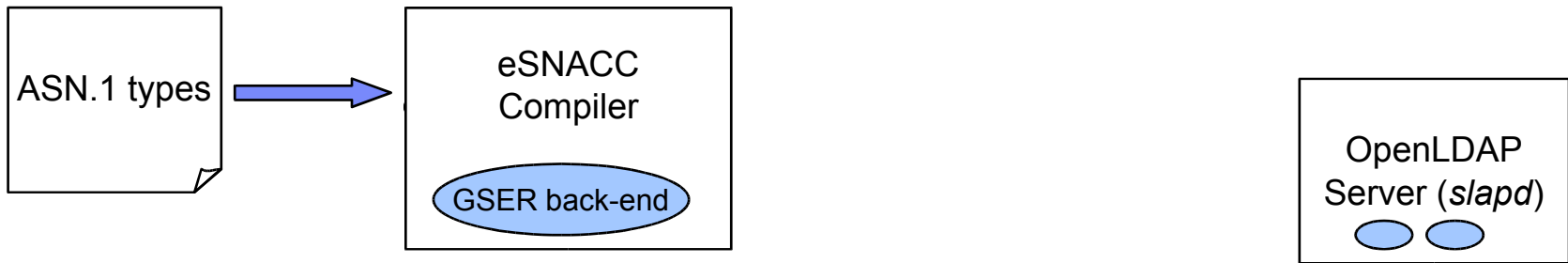
```

→ { version v1, serialNumber 12345 ,signature {... },  
"issuer cn=foobar,o=ibm" ... }

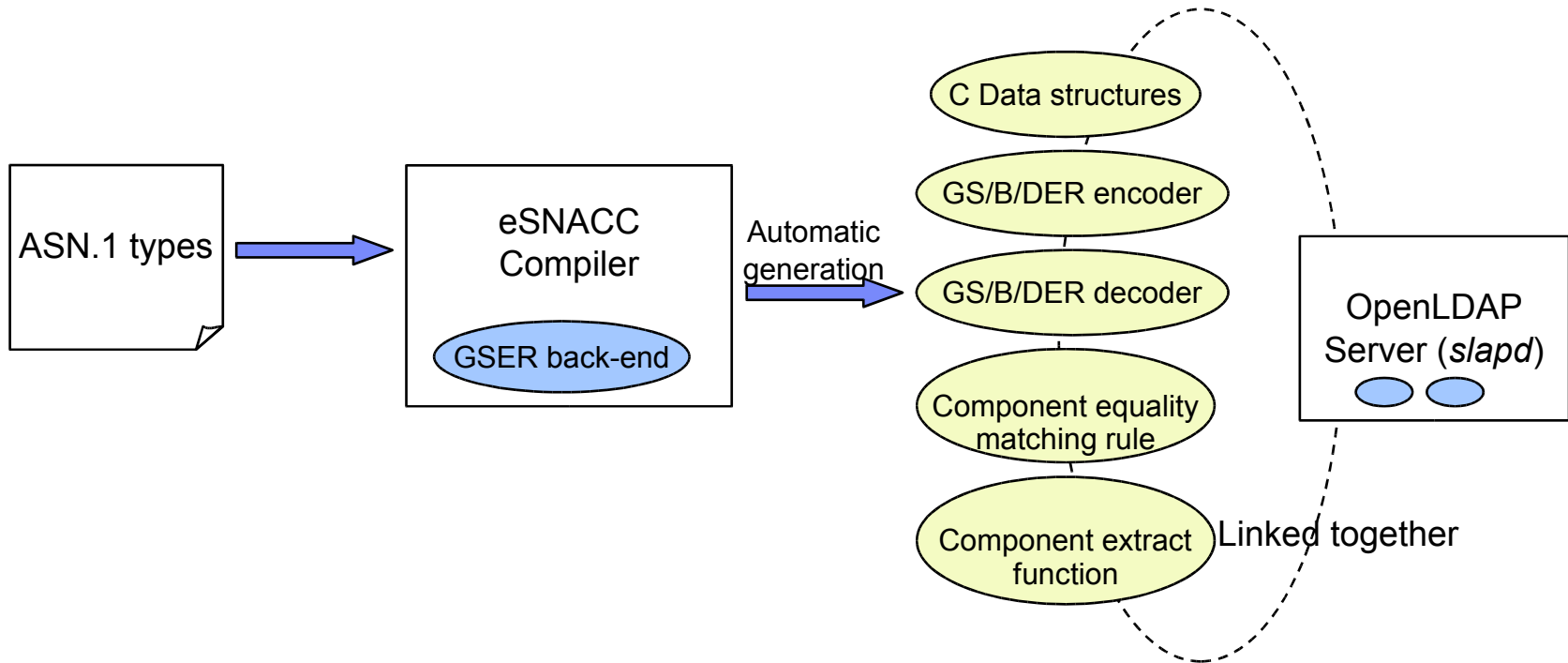
GSER encodings



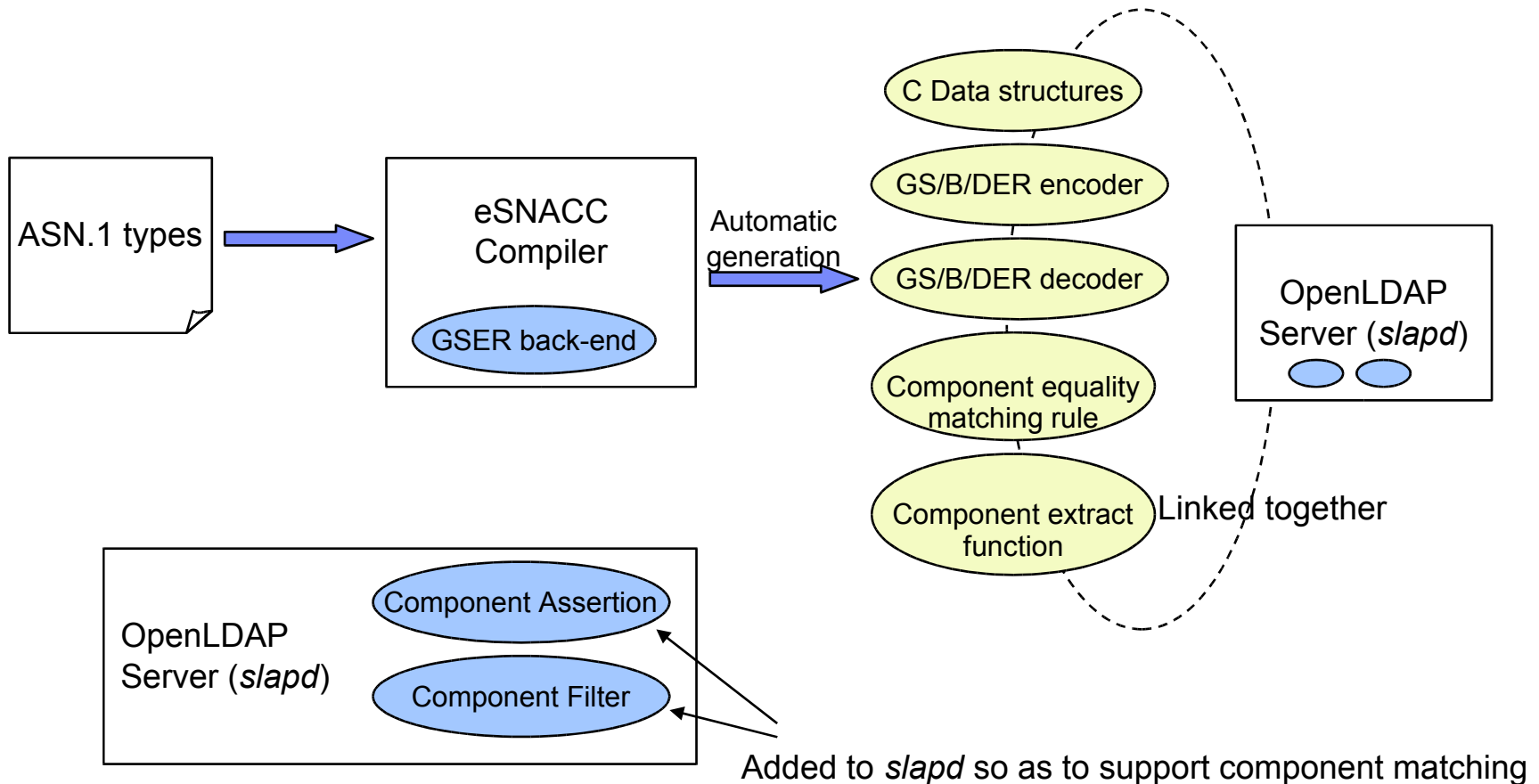
## Overview of Component Matching enabled OpenLDAP



# Overview of Component Matching enabled OpenLDAP



# Overview of Component Matching enabled OpenLDAP



We will fully automate overall steps required for ASN.1 syntax registration and activation

# Component Assertion and Component Filter

- **Component Assertion**

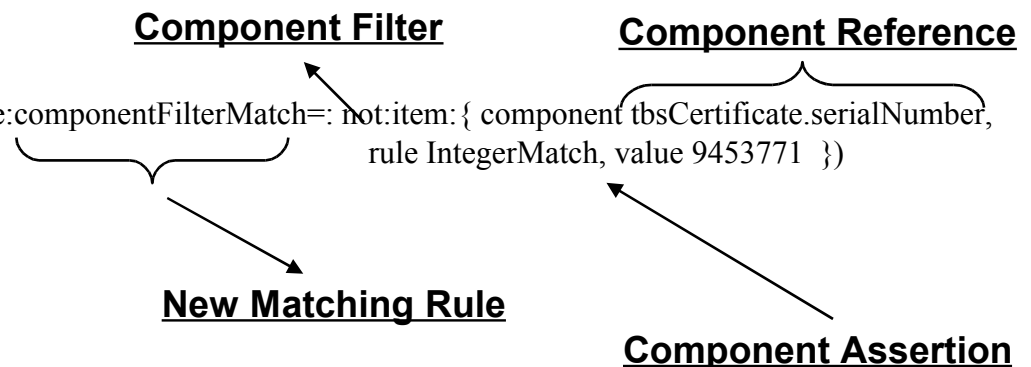
- An assertion about the presence, or values of, components within an ASN.1 value
- Component Reference
  - identifying the component part of a ASN.1 value.

- **Component Filter**

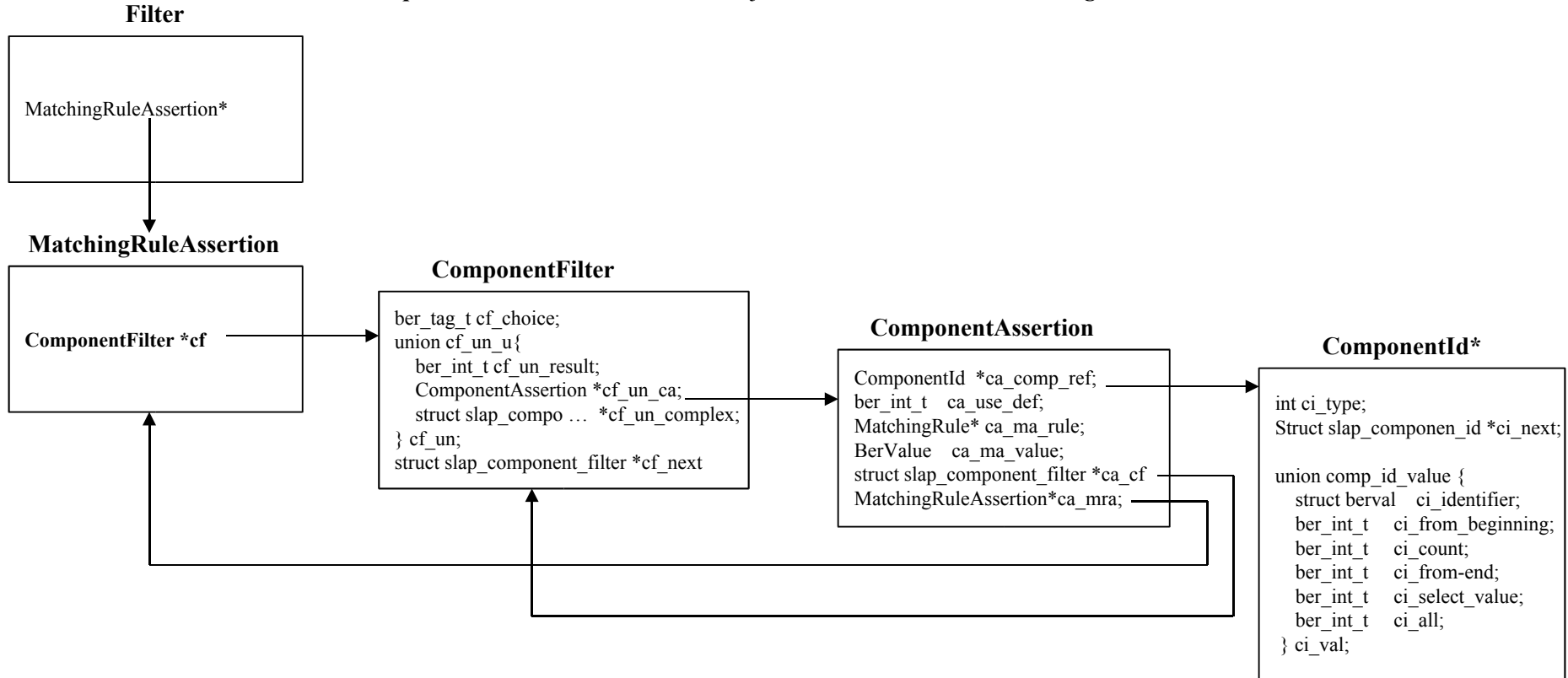
- An “and”, “or”, “not” expression of ComponentAssertion, evaluates to either TRUE, FALSE or Undefined

```

TBSertificate ::= SEQUENCE {
  version      [0] EXPLICIT Version DEFAULT v1,
  serialNumber CertificateSerialNumber,
  signature    AlgorithmIdentifier,
  issuer       Name,
  validity     Validity,
  subject      Name,
  subjectPublicKeyInfo subjectPublicKeyInfo,
  ...
  extensions  [3] EXPLICIT Extensions OPTIONAL
}
    
```



*ComponentFilter* data definition in conjunction with *Filter* and *MatchingRuleAssertion*



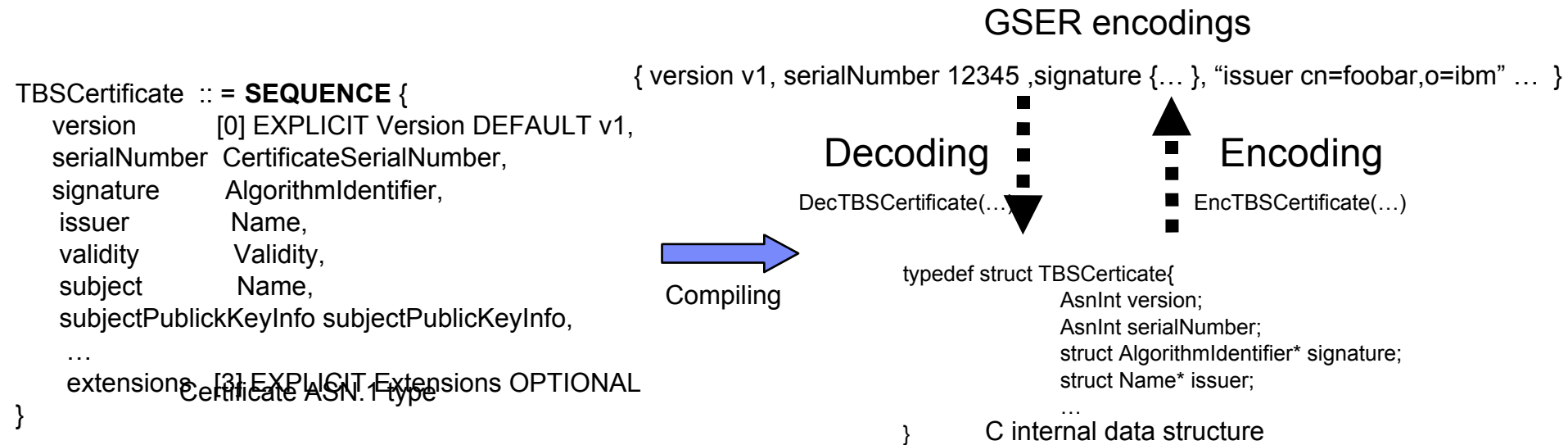
# An eSNACC compiler and an GSER back-end

## ■ What does the compiler do?

- Compile ASN.1 (Abstract Syntax Notation One) modules into
  - ASN.1 equivalent C data structures
  - Routines to convert values between the internal (C or C++) representation and the corresponding BER/DER formats

## ■ GSER back-end

- Generate GSER encoding/decoding functions of given ASN.1 definition

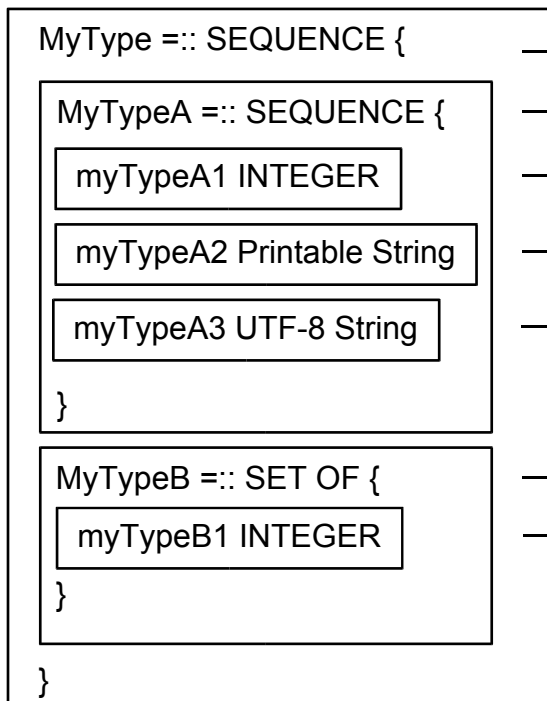


# Component Equality Matching Rule (1)

- **Equality matching rule for a complex ASN.1 type**
  - allComponentMatch : basic equality matching rule for an ASN.1 type
  - derived allComponentMatch : refined equality matching rule

allComponentMatch

derived allComponentMatch



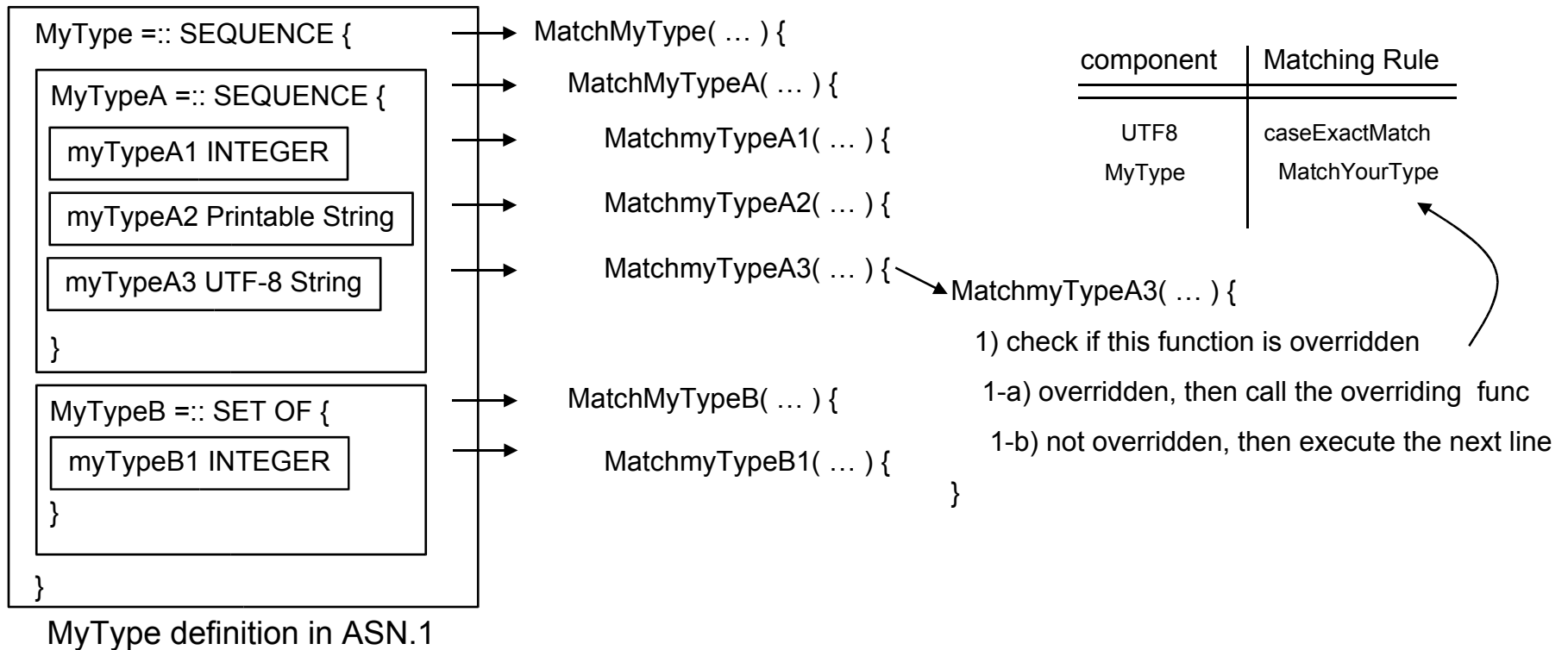
- Both Absent, present and the same?
- Both Absent, present and the same?
- Value is equal?
- # of code., corresponding code same? → caseExactMatch
- # of code., corresponding code same? → caseIgnoreMatch
- Both Absent, present and same?
- Value is equal?

component	Matching Rule
UTF8	caseIgnoreMatch
Printible String	caseExactMatch

MyType definition in ASN.1

# Component Equality Matching Rule (2)

- Automatic generation of *allComponentMatch* using eSNACC





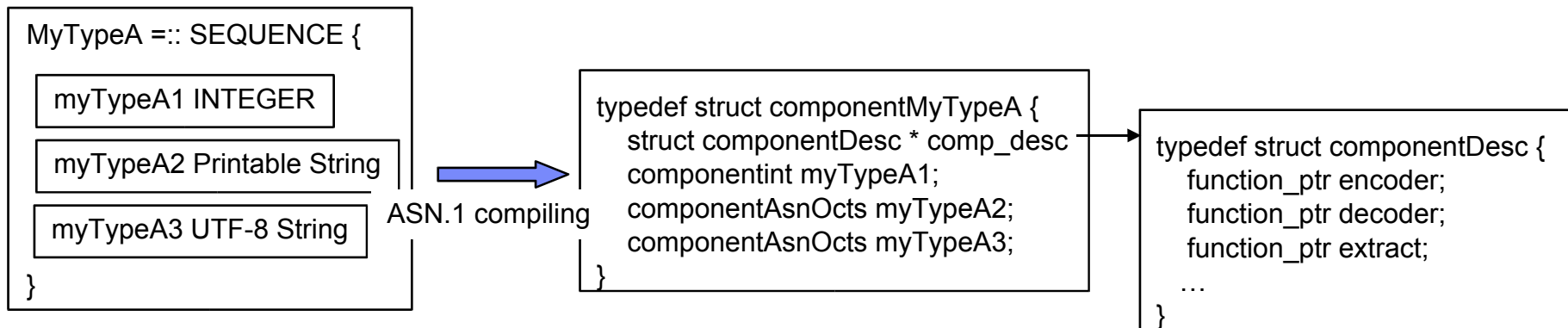
# Component Representation in *slapd*

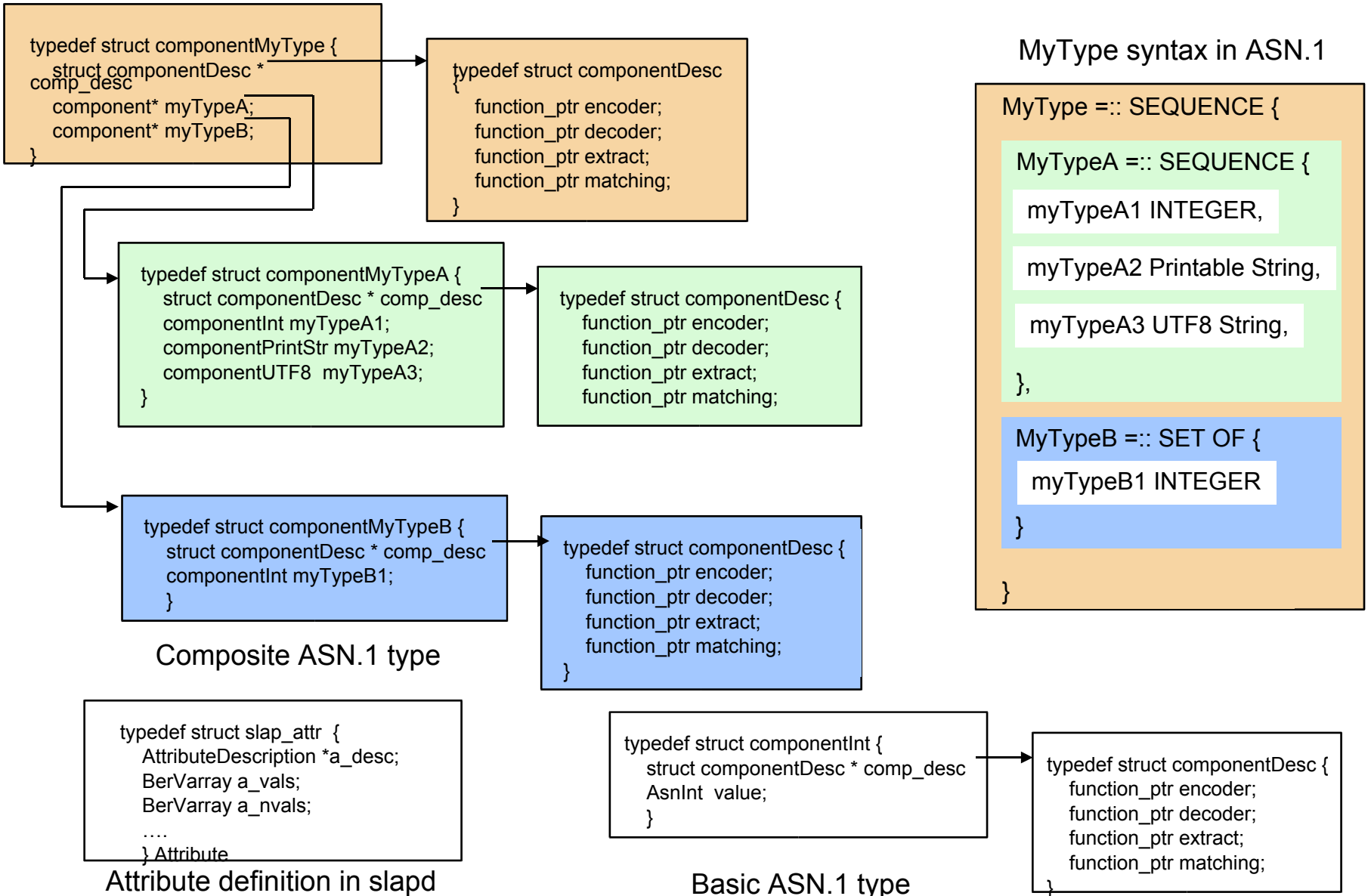
## ■ Component

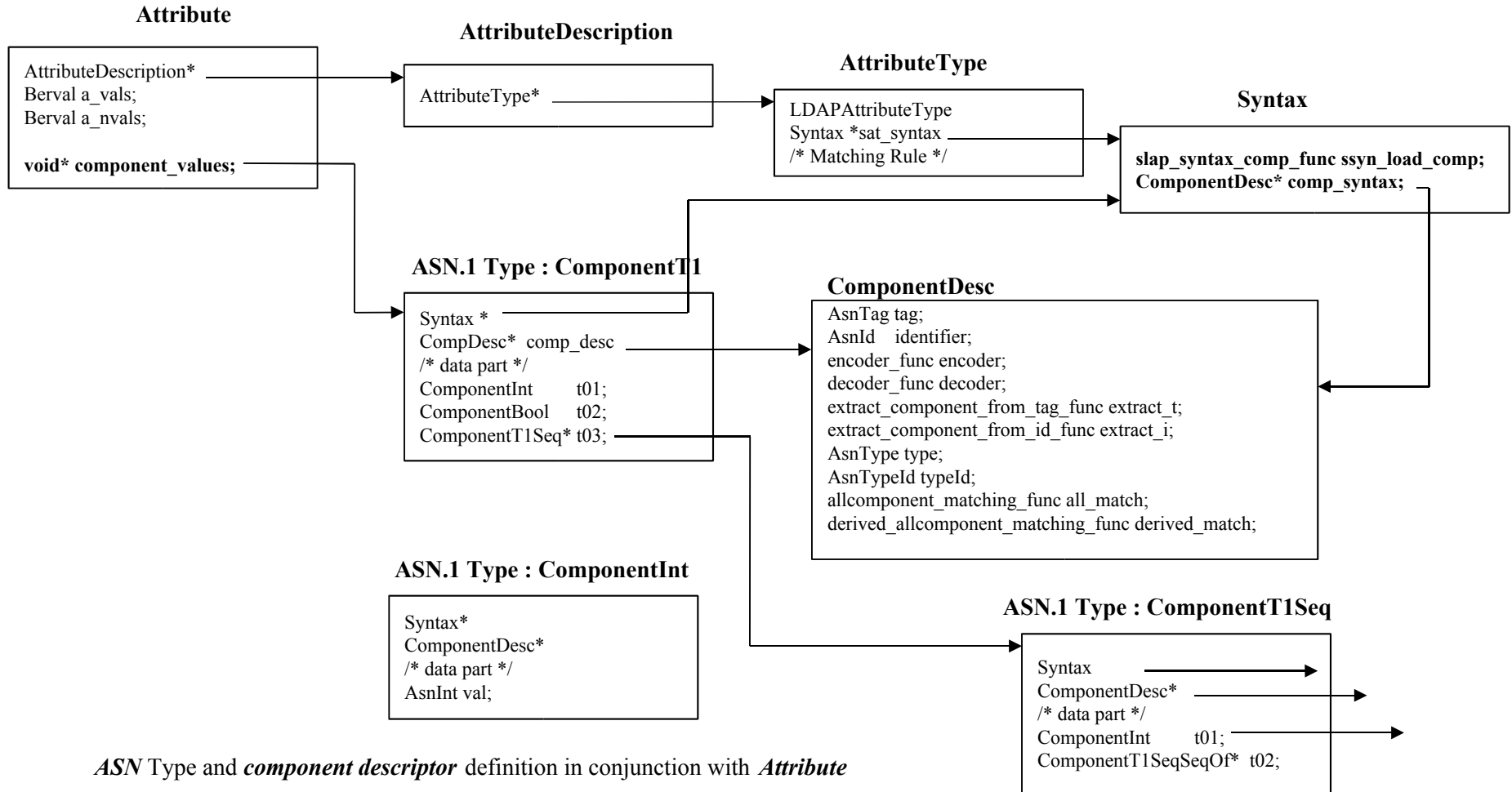
- The selected part of attribute value
  - referenced or identified by Component Reference
- Two parts
  - Data value : Value in a C internal data structure
  - Descriptor for its value processing : value-specific encoder/decoder/matching rule/extract

## ■ Component extraction

- Extract referenced components from a component tree

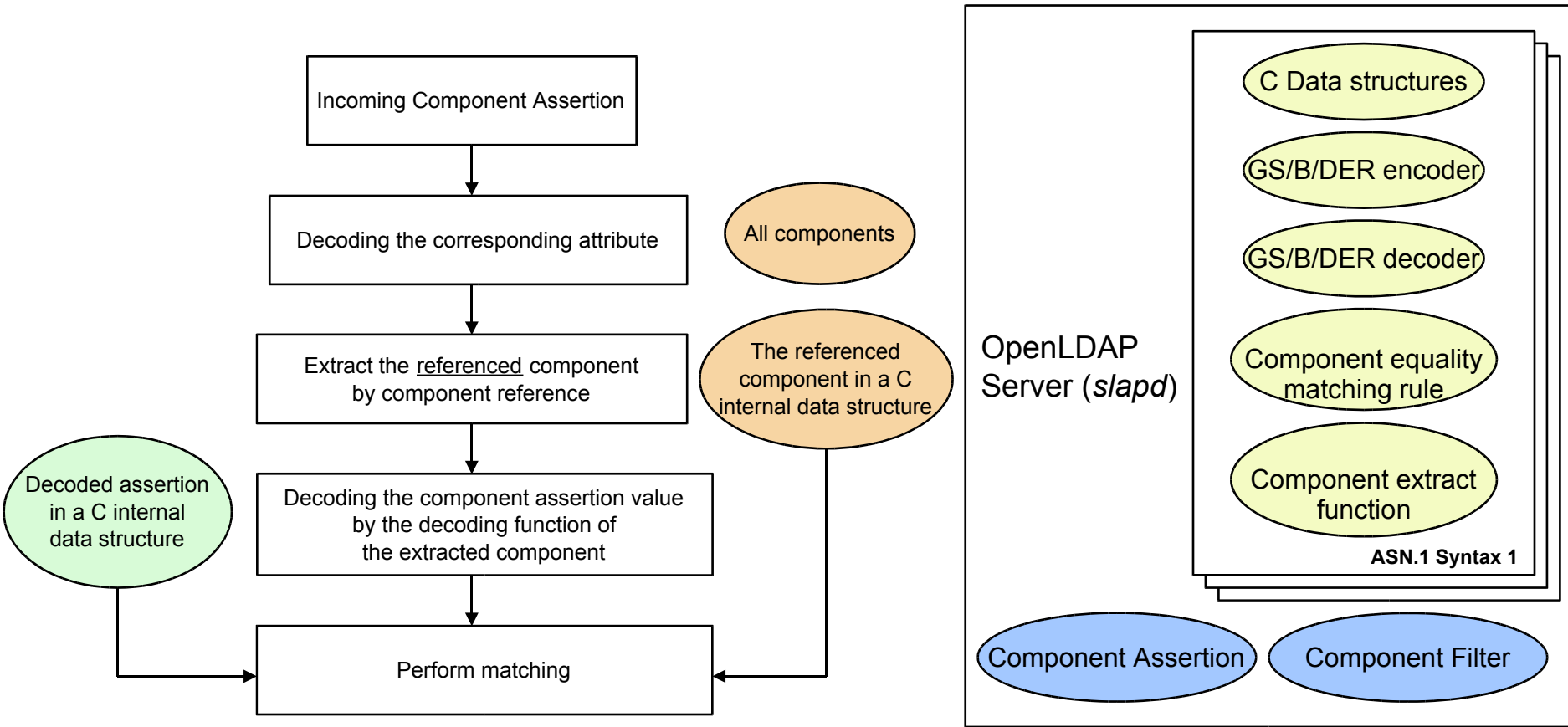






ASN Type and *component descriptor* definition in conjunction with *Attribute*

# Overall Matching Flow of Component Matching Enabled OpenLDAP



# Applicability

- **GSER and component matching**
  - Generic way of defining a syntax and matching rule
- **Component matching enabled OpenLDAP server**
  - Web Service
    - WS-security based on SOAP Message Security
    - Security token reference : `<wsse:Reference URI="ldap://xx.com/CN=foo.."/>`
      - Token: name, identity, key , group etc
  - Grid and On-Demand computing
    - Grid Security Infrastructure based on public key technologies
    - Grid object specification : represent compute resources
      - Easy to deploy new schemas by the help of eSNACC compiler

## Mapping attribute matching to component matching

- **When a client does not support GSER and extensible matching and a server supports component matching**
- **Attribute matching to component matching**
  - Using attribute matching OID as a key, find matching rule to be performed instead

(Certificate::certificateEmailMatch=sslim@core.kaist.ac.kr)

mapping matching rule	Matching rule	Component Reference
certificateEmailMatch	caseExactMatch	certificate.email

# Summary

- **Need to match a selected part of an attribute value**
  - ASN.1 aware OpenLDAP server
  - GSER and Component matching : automated and fundamental approach
- **Component matching enabled OpenLDAP server**
  - Component assertion, filter and reference of component matching
  - eSNACC compiler to automate syntax writing and deployment
    - GSER encoding/decoding/equality matching rule/component extraction routines
  - Component representation in slapd
- **Question?**

# References

- **RFC 3687 : LDAP and X.500 Component Matching Rules**
- **Deficiencies in LDAP when used to support Public Key Infrastructures**
- **ASN.1 : Abstract Syntax Notation One**
- **RFC 3641 : Generic String Encoding Rules (GSER) for ASN.1 Types**